

# Wealthtrac News



Matthew Johnson MAICD  
Managing Director and CEO

## Welcome to the Autumn 2016 edition of Wealthtrac News.

A message from Matt Johnson



Wealthtrac is pleased to announce that as of 25 January 2016 Wealthtrac acquired Superior Wealth Management Solutions' (SWMS) Service Level Agreement with Oasis.

This acquisition brings Wealthtrac's total FUA to over \$1.4 billion and total members to over 9000 investors.

It is business as usual for Wealthtrac with no change for Dealers and Advisers.

### Wealthtrac at Conferences and Events

In the past months Wealthtrac attended the events listed on the right. We look forward to the coming months, where we can assist you in achieving your clients' goals and objectives using the Wealthtrac platform.

If you haven't had the chance to download our SMSF App yet, download it instantly at: <http://shareableapps.com/wealthtrac>

And our Wrap App which can be downloaded at: <http://wealthtracwrap.shareableapps.com>

<b>4 Feb 2016</b>	<b>ANZ Financial Standard Chief Economist Forum</b>
<b>10 Mar 2016</b>	<b>FICAP 'Who wants to be a Rockstar'</b>
<b>17 Mar 2016</b>	<b>IML Roadshow</b>

- Wealthtrac attended the Roadshow



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## Insurance and CDD Changes to Forms

### Insurance Duty of Disclosure Changes

Legislative changes to the Insurance Contracts Amendment Act (ICAA) 2013, require Life Insurers to amend their Duty of Disclosure terms and conditions by **28 December 2015**.

This legislative change has resulted in OnePath Life (Insurer), requesting the Trustee to alter the Duty of Disclosure on all insurance forms effective 28 December 2015.

The following forms have been updated as a result:

- Group Insurance Application and Personal Statement
- Group Insurance Transfer Personal Statement
- Group Short Form Personal Statement
- Guaranteed Insurability Option
- Smoker Status Insurance Declaration Form

This new Duty of Disclosure applies to all insurance cover provided by the insurer to new and existing members, where a new application or an alteration to existing cover is requested from 28 December 2015.

### Grace Period for Insurance Forms

The Group Insurance Application and Personal Statement, Group Insurance Transfer Personal Statement, Group Short Form Personal Statement, Guaranteed Insurability Option and the Smoker Status Insurance Declaration Form dated prior to 28 December 2015 will be **accepted up until Friday 15 January 2016 (inclusive) provided they are signed and dated prior to 28 December 2015**. Forms received after this date (or not dated prior to 28 December 2015) will be returned and a query will be raised to request that a new form be completed. Please refer to the 'Important Information' section of our website for further information on the Duty of Disclosure.

## Customer Due Diligence Reminder

A reminder that from 1 January 2016 the new Customer Due Diligence requirements will be enforced.

This means that the forms listed below must be submitted from this date and completed in full to avoid delays in establishing accounts.

- Superannuation and Pension Application – dated 23 November 2015
- Investment Service Application – dated 23 November 2015
- Superannuation/Pension to Pension Transfer – dated 23 November 2015
- Investment Service Transfer (sub-fund to sub-fund transfers) – dated 23 November 2015
- Superannuation Transfer (sub-fund to sub-fund transfers) – dated 23 November 2015
- Pension Transfer (sub-fund to sub-fund transfers) – dated 23 November 2015
- Identification Form – non-individuals (investment service only) – dated December 2015

## Identification Requirements

One of the main changes for non-individuals is that we must be able to verify:

- A. beneficial owners or controllers;
- B. the settlor of the trust (where applicable); and
- C. beneficiaries.

This means that we will require certified identification from these parties (except settlor of the trust, where a name is required). See the 'Completing proof of identity' document available online for information about acceptable forms of identification and who can certify.



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## Insurance and CDD Changes to Forms (cont'd)

### MoneyOne® Online forms

Several occupations in the MoneyOne occupation drop down lists have been duplicated with the following acronyms 'NEC' and 'NFD', **please use the 'NFD' option.**

### Accessing Forms

Forms are available via MoneyOne® Online by following the steps below:

1. Login to MoneyOne® Online using your login code and password
2. Click on **Documents and Resources** located on the left hand side of the screen
3. Select **Offer Documents and Forms** located at the top of the screen
4. Select **PDS and Forms**



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## Amendments to Investment Listings

### Name Changes

The funds listed below have recently undergone a name change:

APIR Code	Old Name	New Name
RIM0023AU	Russell II Portfolio	Russell Portfolio Series – Conservative
RIM0024AU	Russell IV Portfolio	Russell Portfolio Series – Balanced
RIM0025AU	Russell V Portfolio	Russell Portfolio Series – Growth

These changes have been reflected in the documents containing the investment listing which are currently available on the MoneyOne® Online website.

### Additions

The Shares listed on the right have been added as investment options on the Oasis platform:

ASX Code	Share Name
<b>Category ASX 300 (1-200 shares)</b>	
VCX	Vicinity Centres
SGR	The Star Entertainment Group Limited
CGC	Costa Group Holdings Limited
BRS	Broadspectrum Limited
<b>Category ASX 300 (201-300 shares)</b>	
PAC	Pacific Current Group Limited
<b>Hybrids and Preferences</b>	
MQGPB	Macquarie Group Capital Notes 2
WBCPF	Westpac Capital Notes 3



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## Amendments to Investment Listings cont'd

### Closures

The Shares listed on the right have been closed as investment options on the Oasis platform:

ASX Code	Share Name
<b>Category ASX 300 (1-200 shares)</b>	
EGP	Echo Entertainment Group Limited
FDC	Federation Centres
<b>Category ASX 300 (201-300 shares)</b>	
AFJ	Affinity Education Group Limited
ANI	Australian Industrial REIT
SKE	Skilled Group Limited
TRG	Treasury Group Limited
TSE	Transfield Services Limited
DSH	Dick Smith Holdings Limited

These changes have been reflected in the documents containing the investment listing which are currently available on the MoneyOne® Online website.

#### Client Services

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Wealthtrac and ANZ Wealth have made every effort to ensure the fee comparisons are accurate and based on current information however, we do not guarantee their accuracy. Past performance is not indicative of future performance. The future value of investments may rise and fall with changes in the market.

You (and your clients') should read the relevant disclosure document and consider whether a particular product is right for you (and your clients') before making a decision to acquire or continue to hold the product.



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